

# THE UNITED STATES OF AMERICA

**TO ALL TO WHOM THESE PRESENTS SHALL COME:**

**UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office**

June 02, 2004

**THIS IS TO CERTIFY THAT ANNEXED HERETO IS A TRUE COPY FROM  
THE RECORDS OF THE UNITED STATES PATENT AND TRADEMARK  
OFFICE OF THOSE PAPERS OF THE BELOW IDENTIFIED PATENT  
APPLICATION THAT MET THE REQUIREMENTS TO BE GRANTED A  
FILING DATE.**

**APPLICATION NUMBER: 10/421,254**

**FILING DATE: April 22, 2003**

**RELATED PCT APPLICATION NUMBER: PCT/US04/11068**

REC'D 07 JUN 2004

WIPO

PCT



**By Authority of the  
COMMISSIONER OF PATENTS AND TRADEMARKS**

**T. LAWRENCE  
Certifying Officer**

**PRIORITY  
DOCUMENT**

**SUBMITTED OR TRANSMITTED IN  
COMPLIANCE WITH RULE 17.1(a) OR (b)**

1042254 042203

4-24-03

A

04/22/03



PTO/SB/05 (03-01)  
Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b)).

Attorney Docket No.	ABD-001
First Inventor	Ahmad B. Dowlatbadi
Title	CONTROL LOOP FOR SWITCHING POWER CONVERTERS
Express Mail Label No.	EV 147298298 US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
*(Submit an original and a duplicate for fee processing)*
- ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
- ☒ Specification [Total Pages: 23]  
*(preferred arrangement set forth below)*
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets: 9]
- ☒ Oath or Declaration [Total Pages: 2]
  - ☒ Newly executed (original or copy)
  - ☐ Copy from a prior application (37 CFR 1.63 (d))  
*(for continuation/divisional with Box 18 completed)*
    - ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

- ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- ☐ Nucleotide and/or Amino Acid Sequence Submission  
*(if applicable, all necessary)*
  - ☐ Computer Readable Form (CRF)
  - ☐ Specification Sequence Listing on:
    - ☐ CD-ROM or CD-R (2 copies), or
    - ☐ paper
  - ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

- ☐ Assignment Papers (cover sheet & document(s))
- ☐ 37 CFR 3.73(b) Statement of Power of Attorney  
*(when there is an assignee)*
- ☐ English Translation Document *(if applicable)*
- ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503)  
*(Should be specifically itemized)*
- ☐ Certified Copy of Priority Document(s)  
*(if foreign priority is claimed)*
- ☐ Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
- ☒ Other: Cert. of Mailing

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

Prior application information:

Examiner:

Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	003897 <i>(insert Customer No. or attach barcode label here)</i>	or	<input checked="" type="checkbox"/> Correspondence address below
Name	Schneck & Schneck		
Address	P.O. Box 2-E		
City	San Jose	State	CA
Country	U.S.A.	Telephone	408/297-9733
		Fax	408/297-9748

Name (Print/Type)	Thomas Schneck	Registration No. (Attorney/Agent)	24,518
Signature	<i>Thomas Schneck</i>	Date	04/22/2003

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

PTO/SB/17 (01-03)

Approved for use through 04/30/2003. OMB 0651-0032

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003, Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ ) 375.00

## Complete if Known

Application Number  
Filing Date  
First Named Inventor Ahmad B. Dowlatabadi  
Examiner Name  
Art Unit  
Attorney Docket No. ABD-001

## METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None
☒ Deposit Account:

Deposit Account Number 19-0590

Deposit Account Name Schneck &amp; Schneck

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) during the pendency of this application☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 750	2001 375	Utility filing fee	375.00
1002 330	2002 165	Design filing fee	
1003 520	2003 260	Plant filing fee	
1004 750	2004 375	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1)			(\$ ) 375.00

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
15	20** = 0	9	
2	3** = 0	42	
Multiple Dependent			

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 84	2201 42	Independent claims in excess of 3
1203 280	2203 140	Multiple dependent claim, if not paid
1204 84	2204 42	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ ) 0

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	2053 130	Non-English specification	
1812 2,520	2812 2,520	For filing a request for ex parte reexamination	
1804 920*	2804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	2805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 410	2252 205	Extension for reply within second month	
1253 930	2253 465	Extension for reply within third month	
1254 1,450	2254 725	Extension for reply within fourth month	
1255 1,970	2255 985	Extension for reply within fifth month	
1401 320	2401 160	Notice of Appeal	
1402 320	2402 160	Filing a brief in support of an appeal	
1403 280	2403 140	Request for oral hearing	
1451 1,510	2451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,300	2453 650	Petition to revive - unintentional	
1501 1,300	2501 650	Utility issue fee (or reissue)	
1502 470	2502 235	Design issue fee	
1503 630	2503 315	Plant issue fee	
1460 130	2460 130	Petitions to the Commissioner	
1807 50	2807 50	Processing fee under 37 CFR 1.17(a)	
1808 180	2808 180	Submission of Information Disclosure Stmt	
8021 40	28021 40	Recording each patent assignment per property (times number of properties)	
1809 750	2809 375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 750	2810 375	For each additional invention to be examined (37 CFR 1.129(b))	
1801 750	2801 375	Request for Continued Examination (RCE)	
1802 900	2802 900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ )

## SUBMITTED BY

Name (Print/Type) Thomas Schneck  
Signature *Thomas Schneck*

Registration No. (Attorney/Agent) 24,518

## (Complete if applicable)

Telephone (408) 297-9733

Date April 22, 2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Approved for use through 10/31/99. OMB 0851-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

ABD-001

## Certificate under 37 CFR 1.10 of Mailing by "Express Mail"

EV 147298298 US

"Express Mail" label number

April 22, 2003

Date of Deposit

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.



Signature of person mailing correspondence

Sally Azevedo

Typed or printed name of person mailing correspondence

Note: Each paper must have its own certificate of mailing by "Express Mail".

Burden Hour Statement: This form is estimated to take 0.1 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.





made of two transistor switches; M1 and M2, along with inductor 18A, having a value  $L_0$ , and capacitor 18B, having a value  $C_0$ . Transistor M1 can be either a p-channel or a n-channel device, while M2 is customarily a n-channel device. The type selection for M1, between n-channel or p-channel, is heavily dependent on design requirements and availability of devices within the system.

Figure 2 shows a timing diagram of voltages at nodes 19B, 19C ( $V_{out}$ ), 20A and 20B during a steady state condition of the SPC of Figure 1. By opening and closing transistor switches M1 and M2 in a complementary fashion, at a rate set by a clock oscillator associated with drivers 12 in Figure 1, where only one device is on at any given moment, the voltage at node 19B would be a pulse with the same frequency of the signal at node 20A or 20B. Yet, the magnitude of the voltage at node 19B would alter from zero to  $V_{in}$ . This pulse voltage would be filtered by inductor 18A and capacitor 18B at node 19C to an approximate value of

$$V_{out} = V_{in} (T_{on}/T) \quad (1)$$

where  $T_{on}$  is the duration for which M1 is kept conducting (in this case while signal at node 20A is at zero), and  $T$  is the total period of signal at node 20A (or period of signal at node 20B). Referring to Figure 2, the ratio of  $T_{on}/T$  is called "duty cycle" of the clock. So, for a 20 percent "duty cycle", output voltage  $V_{out}$  would be  $V_{out} = 0.2V_{in}$  assuming no losses.

Returning to Figure 1, a regulation loop for a prior art SPC is often made of an error amplifier (EA) 23, having an input load Z1, represented by block 14A, and a feedback load Z2, represented by block 14B, a pulse width modulator (PWM) or a pulse frequency modulator (PFM) controller 15, and a driver 12 to turn M1 and M2 on

and off. The error amplifier may be an analog or digital device which evaluates a sample of power ripple on one input to the error amplifier versus a reference voltage on node 22C from a reference supply 16. This regulation configuration is frequently seen in buck, boost, and fly-back switching power converter designed of the prior art. An entire SPC system can be built on a printed circuit board using discrete components or it can be built as an integrated circuit using CMOS, BiCMOS, BCD, or any other process technology suitable for such a design.

Referring again to Figure 2, if value of T is held constant for a constant clock period, or frequency, and  $T_{on}$  (or  $T_{off}$ ) is varied to control voltage at node 19C ( $V_{out}$ ), then the controller is called a PWM or pulse width modulator controller. Yet, if T is varied and  $T_{on}$  (or  $T_{off}$ ) is held constant, then the controller is a PFM or pulse frequency modulator controller. In either case, PWM or PFM, transistor switches M1 and M2 are operated in a manner that creates a voltage pulse at node 19B. Inductor 18A, having a value  $L_0$ , and capacitor 18B, having a value  $C_0$ , are connected in a manner to form a low-pass filter so that pulse signal at node 19B is converted into a fairly constant DC voltage at 19C defined by Equation 1 and depicted in Figure 2. Voltage at node 19C is used to power up any possible load, such as load 13.

Using small-signal analysis, the low-pass filter created by inductor 18A and capacitor 18B produces two poles at  $f_{p1}$  and  $f_{p2}$  that can be calculated from

$$f_{p1} = f_{p2} = \frac{1}{2\pi\sqrt{L_0 C_0}} \quad (2)$$

Now, since there are two poles within the regulation loop, this system would be unstable in a closed loop

configuration if there is no change made to the loop.  
So, the loop must be compensated.

Referring again to Figure 1, error amplifier 23  
along with two loads 14A and 14B, with values Z1 and Z2,  
5 respectively, serve as the main compensation circuitry to  
add stability to the loop. This is a very commonly  
practiced scheme to compensate a SPC regulator loop.  
Using small-signal analysis, in the frequency domain, the  
voltage gain of error amplifier 23 considering its loads  
10 can be calculated as

$$A_1 = \frac{-Z_2}{Z_1} \quad (3)$$

By using a proper combination of active and passive  
components, primarily capacitors and resistors for loads  
Z1 and Z2, proper additional poles and zeros can be added  
15 within the regulation loop in order to stabilize it.

Figure 3 shows one possible method of  
implementing a complex value for Z2 with a capacitor 101,  
having a value C<sub>11</sub>, in series with a resistor 103, having  
a value R<sub>11</sub>, both the capacitor 101 and resistor 103 in  
20 parallel with capacitor 105, having a value of C<sub>12</sub>. So.  
assuming a simple resistor is used for Z1 with value of  
R<sub>Z1</sub>, and assuming Z2 is set to be a combination of one  
resistor and capacitors shown in Figure 4, then A<sub>1</sub> (in  
Equation 3) is

$$A_1 = - \frac{1 + sRC_{11}}{R_{Z1}s[sRC_{11}C_{12} + (C_{12} + C_{11})]} \quad (4)$$

with one zero at 1/(2πRC<sub>11</sub>), and two poles. However, it  
must be noted that the DC voltage gain of error amplifier  
23 is simply equal to its open loop voltage gain, and is  
not calculated from Equation 4. Furthermore, capacitor  
30 18B, in Figure 1, having value C<sub>0</sub>, has series parasitic  
resistances, not shown in Figure 1, with a value of R<sub>ser</sub>



which would add another zero at  $1/(2\pi C_0 R_{ser})$ . There are effectively two poles created by  $L_0$  and  $C_0$  (at  $f_{p1}$  and  $f_{p2}$ ), and two additional poles created by  $Z1$  and  $Z2$  which yield a number of poles totaling four, with two zeros within  
5 the loop. Hence, by adjusting the values of passive components  $L_0$ ,  $C_0$ , (both associated with the bridge converter),  $C_{11}$ ,  $C_{12}$ ,  $R_{11}$ , (the latter three values seen to be associated with the components of Figure 3), and  $R_{Z1}$ ,  
10 (the resistance value of the impedance  $Z1$  in block 14A of Figure 1) a regulation loop can be compensated to ensure a stable operation for all conditions.

The same analysis can be used for any other converter such a fly back, or H-bridge which uses this common type of regulation. One of the main problems in a  
15 regulation loop is the error amplifier itself. The error amplifier must have a high voltage gain, and adequate bandwidth in order to be effective. If the voltage gain or speed of the error amplifier is compromised for any reason, then additional error terms are introduced, which  
20 in turn may not produce a stable controller. So, performance of the error amplifier is a very crucial and important issue that must be considered for any regulator.

A power supply for an amplifier plays a very  
25 crucial role in its gain and bandwidth. A reduced power supply voltage often lowers either the gain or speed, or both gain and speed. Traditionally, error amplifiers in a regulation loop need a minimum power supply voltage of around 2V to operate properly. Furthermore, in a typical  
30 buck SPC the entire regulation loop may be powered by the provided power source, which has a value of  $V_{in}$ . Thus, the minimum voltage for power source or ( $V_{in}$ ) is often limited to around 2V for a conventional buck SPC. So, if value of  $V_{in}$  drops below this critical limit of around 2V  
35 then error amplifier that is used in the buck SPC

regulation loop could have a reduced voltage gain or bandwidth, which could hinder the performance of the entire converter, or may prevent operation of the converter.

5           In a boost converter, where  $V_{in}$  is increased to a larger value at the output and  $V_{out} > V_{in}$ , if  $V_{in}$  is less than a critical voltage which is need to run all of the internal circuitry, such as error amplifier or reference circuitry, then the output voltage  $V_{out}$  may not be  
10 regulated until its value reaches an specific value high enough that can be used as the power source to the regulator itself. Then, the loop is activated to regulate value of  $V_{out}$  at its targeted value.

15           Thus, general use of an architecture similar to that shown in Figure 1 in buck SPCs is limited mainly to system where  $V_{in}$  is, at a minimum, around 2V. Nevertheless, there are applications where a buck SPC is needed to convert a lower voltage power source, such as household batteries that are used as a main power source.  
20 In this case  $V_{in}$  could be as low as 1.3V. A desired output voltage ( $V_{out}$ ) could be anything from 1.2V to as low as 0.4V.

25           In such systems, one available scheme could be simply to use a linear voltage regulator. However, efficiency linear voltage regulators is approximated by

$$\eta = V_{out}/V_{in} \quad (5)$$

30           where  $V_{in}$  and  $V_{out}$  are their respective input an output voltages. Thus, linear regulator are considered very inefficient for large voltage drops and may not be suitable for a system where  $V_{in} = 1.3V$  and  $V_{out} = 0.65V$ , since  $\eta = 50\%$ . An SPC efficiency should be as high as 95% for similar voltage drop ratios. Another available  
35 method could be to employ a boost SPC to increase the

provided power source by stepping up a value of  $V_{in}$  as previously mentioned to voltage of around 2V, or higher, and then use a buck SPC to regulate the created 2V level back to a voltage lower than the initial  $V_{in}$ . Such an approach would need two sets of SPCs which increases the cost and would reduce the entire efficiency of power converter circuitry. This may not be acceptable, yet it could be the only effective "efficient" solution.

Other approaches to regulate a SPC involve using a digital architecture. In some digital schemes a PLL has been used to monitor and adjust a power supply for a digital system. The goal was to "dynamically" adjust  $V_{out}$  in order to optimize the power consumption of the load which were a large digital circuits. Hence, these approaches are not used to keep  $V_{out}$  at a constant value, but to change it according to the need of an specific digital load in order to minimize the amount of power consumed within such load, such as a micro-controller or microprocessor circuits. An analog-to-digital converter (ADC) has been used to sample the output voltage of a circuit and voltage regulation was done through digital circuitries. However, the input voltage was still kept to a value around 3V to keep an analog-to-digital converter operational. The cost of the die was fairly large.

An object of the invention is to create a new control loop to regulate the output voltage of a switching power converters (SPC), even at low input power supply voltage, particularly lower than 2V, to reduce design complexity, and to lower power consumption and facilitate design portability of the regulator between different manufacturing methods and processes (i.e. CMOS, BiCMOS and such).

#### SUMMARY OF THE INVENTION

The above object has been satisfied with a control loop for a SPC that uses a bridge rectifier to provide rectified DC to a filter loop having a simple  
5 voltage comparator instead of a traditional operational amplifier, along with a simple filter to linearize nonlinear response of the comparator. The filter has poles and zeros offsetting the poles and zeros of the bridge rectifier to promote stability in the loop. The  
10 new circuit can tolerate process, temperature and voltage variations, and is capable of operating with reduced power supply voltage with no degradation in performance. The circuit can easily be ported into different technologies without major design modifications. The  
15 circuit can be applied to any SPC circuit including DC-DC, DC-AC and AC-DC converters. By using this new regulation circuit the power supply voltage applied into the SPC can easily be lowered without harming regulated  
20  $V_{out}$ .

#### BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 is a circuit diagram of a buck type of switching power converter of the prior art.

Figure 2 is a timing diagram of voltage versus  
25 time at various nodes in the circuit of Figure 1.

Figure 3 is a circuit diagram of a complex impedance load for an error amplifier of the prior art.

Figure 4 is a circuit diagram of a boost type of switching power converter with a stabilization loop of  
30 the present invention.

Figure 5 is a circuit diagram of an alternate switching power converter with a stabilization loop of the present invention, including a charge pump.

Figure 6 is a circuit diagram of a typical  
35 filter in the stabilization loop of Figures 4, 5, or 7.

Figure 7 is a circuit diagram of a switching power converter with another embodiment of a stabilization loop of the present invention.

5 Figure 8 is a circuit diagram of a charge-pump used in the circuit of Figure 7.

Figure 9 is a circuit diagram of principal regulation components shown in the stabilization loop illustrated in Figure 7.

10 Figure 10 is an expanded circuit diagram of showing a voltage comparator illustrated as a block in Figure 9.

Figure 11 is a further expanded circuit diagram showing the voltage comparator illustrated in Figure 10.

15 DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

With reference to Figure 4, the present invention came about with the realization that the function of the linear error amplifier 23 in Figure 1 and the compensation loads Z1 and Z2 can be replaced with a  
20 simple high gain detection circuit with a non-linear response, and a proper filter to create a pseudo linear behavior to regulate the loop. At very low frequencies near DC, the error amplifier behaves like a simple voltage comparator with a very large voltage gain. Yet,  
25 it is only at higher frequencies that it can assist in compensating the loop. So, the same behavior can be implemented with components that may not suffer from shortcomings of typical error amplifiers. A non-linear detection circuit such as a voltage comparator is  
30 typically more robust to variations in headroom voltage, temperature, and process variations. Hence, its adaptability to these changes can be used to make the entire compensation loop more robust.

35 A high gain voltage comparator 44 is used to detect an error involving output voltage ( $V_{out}$ ) at node 45

or a fraction of it, at node 47 after a portion of the output voltage at node 45 is dropped across resistor 49. This first voltage is a first input to comparator 44. A second input is a reference voltage on line 51. Assuming that the voltage comparator has a sensitivity of  $\epsilon$  (where  $\epsilon \approx 0$ ) and has very small propagation delay, then if the first voltage is greater than the second, then the comparator output, V2, is at logic level 0, and when the first voltage is less than the second, the comparator output, V2, is at V<sub>in</sub>, logic level 1. So, V2 can be viewed as a pulse voltage modulating from a positive voltage to zero or a negative voltage (if the comparator is powered by two separate power supplies, one positive and one negative). This pulse can be a few volts in magnitude. If the comparator is solely powered with a single power source such as V<sub>in</sub>, then the pulse would merely vary from zero to V<sub>in</sub>. By placing a simple low-pass filter in the path of the comparator, not shown, the pulse voltage at the comparator output node 65, V2, can be averaged out to create a fairly constant voltage which can be fed into the PWM or PFM controller 63 to eventually regulate the loop. Conceptually, the comparator 44 and filter 61 would effectively replace the error amplifier 23 and its loads Z1 and Z2 in Figure 1. The primary goal is to create a constant voltage at the input of PWM or PFM controller 63 so the loop is stabilized. However, the same issues related to the poles and zeros in the regulation loop still exist and must be dealt with. The filter block inside Figure 4 can be built to have the following response:

$$H(s) = \frac{1 + s/\omega_{z1}}{(1 + s/\omega_{p1})(1 + s/\omega_{p2})} \quad (6)$$

where  $H(s)$  is the output transform of the filter,  $s$  is a complex variable and  $w$  is a frequency term ( $\omega_{z1}$  being a



frequency term associated with a pole and  $\omega_{p1}$  and  $\omega_{p2}$  being  
frequency terms associated with pole one and pole two  
respectively, such that the inverse Laplace transform  
 $L^{-1}[H(s)]$  yields expressions that characterize behavior of  
5 filter components in the time domain, such as a circuit  
arrangement of capacitors, inductors and resistors.  
Equation 6 is similar to Equation 4 and it is the  
simplest form of such filter, with one zero and two  
poles. One such filter network could be similar to the  
10 circuit shown in Figure 3, with one end of it connected  
to ground, as shown in Figure 6. One main issue of  
implementing the filter is the magnitude of  $V_2$ , the  
voltage of comparator output node 65. Since magnitude of  
 $V_2$  is modulated from zero to  $V_{in}$  or -5 volts to +5 volts,  
15 the voltage at input node 52A can cause a challenge in  
design, despite that fact it can be viewed as a constant  
for a short period of time. However, if a battery is  
used as power source for the power converter, its output  
voltage will normally change as charge is depleted. So,  
20 even though implementing a filter with a variable  $V_{in}$  is  
possible, it still can be difficult task from practical  
point of view.

A simpler approach would be to insert a simple  
charge-pump circuit 45 in Figure 5 that can add (or  
25 remove) a constant amount of charge into or out of a  
node, depending of magnitude of  $V_2$ , the voltage on node 65  
at the output of comparator 44. Then, in accordance with  
the invention, a stabilized regulation loop includes a  
comparator, a charge-pump and a filter to deal with that  
30 constant yet modulating charge instead of dealing with a  
pulse-like voltage,  $V_2$ . A charge-pump is commonly used in  
the design of traditional phase locked loops (PLLs) where  
the phase or frequency of a reference clock is compared  
to the phase or frequency of the generated clock signal  
35 out of a voltage controlled oscillator (VCO) and

accordingly, charge is added or subtracted from a node by the charge-pump. Note that this type of "charge pump" is different from another type of charge pumps used to increase voltage from a low value to a high value using a series of phased switches controlling charge transfer from connected capacitors. The present invention employs charge adding and subtracting charge pumps, not the other type of charge pump. So, this particular approach with some simple modifications can easily be applied here in SPC regulation design.

Referring to Figure 7, power source 41 may be a full wave bridge converting an AC voltage to some DC level. This DC level is being regulated by a buck SPC which is made of two transistors switches M1 and M2. M1 is shown as a p-channel device and M2 is shown as a n-channel device for this example, yet both can be n-channel devices if needed with some possible extra circuitry to drive M2.

Transistors M1 and M2 are connected to inductor 55A, having a value  $L_0$ , and capacitor 55B, having a value  $C_0$ . Input voltage at node 52A with value of  $V_{in}$  is reduced to a lower voltage at node 52C with value of  $V_{out}$  and can be connected to a possible load, in this case load 43. Value of  $V_{out}$  is regulated by a loop that is made of components that can either be built on a printed circuit board or in an integrated form in CMOS, BiCMOS, or bipolar processes (or any other technology suitable for such a design such as silicon carbide, silicon-on-insulator, silicon germanium, and bipolar-CMOS-DMOS).

A network is used to provide a voltage which is directly proportional  $V_{out}$ , in this case with two series connected resistors 54A and 54B, having respective values  $R1$  and  $R2$ . The voltage at node 52D and the reference voltage provided by reference voltage supply 48 at node 22B from reference supply 48 are compared to each other

by voltage comparator 44. Voltage comparator 44 compares these two voltages at nodes 52D and 22B and provides a signal at its output at node 52E. If voltage at node 52D ( $V_{52D}$ ) is larger than voltage at node 22B ( $V_{22B}$ ) then

5 voltage at node 52E ( $V_{52E}$ ) is set to a logic zero. However, if  $V_{52D}$  is less than  $V_{22B}$ , then  $V_{52E}$  is set to a logic 1. The comparator is connected to a charge-pump which can provide or remove charge to or from node 52F.

Now, at simple circuit diagram shown in Figure 10 8 illustrates a charge-pump used herein. If the comparator 44 output voltage at node 52E is at 0, then current  $I_{up}$  generated by charge-pump 45 flows into node 52F. Assuming capacitor 91 in the filter of Figure 6, having value  $C_{12}$ , is much larger than capacitor 93, having 15 value  $C_{11}$ , then the voltage variation at the output node 52F of charge-pump 45 for  $V_{52E} = 0$  would be

$$\Delta V_{52F} = (I_{up} T_{up}) / C_{12} \quad (7)$$

20 where  $I_{up}$  is value of current source in charge-pump 45 and  $T_{up}$  is the duration for which  $I_{up}$  is flowing into node 52F. And if the output of comparator 44 is high, i.e.  $V_{52E} = 1$ , then capacitor  $C_{12}$  would be discharged by an amount calculated by

25

$$\Delta V_{52F} = (I_{dn} T_{dn}) / C_{12} \quad (8)$$

similarly,  $I_{dn}$  and  $T_{dn}$  are values of current sink and the duration for charge-pump 45 in which  $I_{dn}$  is flowing out of 30  $C_{12}$ , respectively. It must be noted that in the frequency domain, a single capacitor would add another pole to the regulation loop which would cause an additional reason for the entire system to be unstable and is not recommended for this system. Filter 46 must be able to 35 smooth the voltage at node 52F, and in frequency domain

provide a zero to compensate the loop and prevent oscillation.

By using a frequency domain analysis, a zero is added at

5 
$$f_{z1} = \frac{1}{2\pi RC_{12}} \quad (9)$$

where  $f_{z1}$  is the frequency of the zero and a single pole is added at

$$f_{p3} = \frac{C_{11} + C_{12}}{2\pi RC_{11}C_{12}} \quad (10)$$

10 where  $f_{p3}$  is the frequency of the added pole. Thus, by selecting proper values for R,  $C_{11}$ , and  $C_{12}$  values of the created pole and zero can be placed such that an stable system is obtained. Furthermore, parasitic resistance of the output capacitor 55B, having value  $C_0$ , would add an  
15 extra zero within the network that would be used in stabilizing the system, along with the values of  $C_{11}$ ,  $C_{12}$ , and R).

Values of  $I_{dn}$  and  $I_{up}$  would contribute to the overall gain of system in frequency domain or in time domain. By increasing their values, overall gain is  
20 increased and the locations of poles and zeros must be modified in response to those changes. Consequently, all of these parameters become design criteria and must be dealt with for any system.

Clearly a voltage comparator is inherently a  
25 non-linear circuit, unlike an error amplifier. However, it must be noted that an error amplifier that operates as an open loop can be used in a voltage comparator mode within this system, without any noticeable problem. So, voltage comparator 44 can be of any manner and design, as  
30 long as it can perform the voltage detection needed in this system, as described above.

Filter 61 is used to smooth the voltage created at node 52F, the charge-pump output, and apply it to a controller block. Voltage at node 52F out of filter 61 is applied to PWM or PFM controller 63 which provides the needed signals through data line 53 to driver 42. The controller controls the duty cycle for transistor switches M1 and M2 established by driver 42.

Figure 8 shows a simplified operational diagram of a well known charge pump used in Figure 7 having a characteristic design primarily used in phased lock loop (PLL) and delay locked loop (DLL) systems. Any circuit that can perform the function of injecting and retracting current or charge, through a constant current source, such as switch MP, and sink, such as switch MN, could be used as the charge-pump within the system 40.

In operation, charge-pump 45 has an input node 52E having a voltage,  $V_A$ , from the comparator 44 in Figure 7. This voltage is either high or low, say 2 volts or zero volts. A high voltage signal causes transistor MP and MN to pull current,  $I_{up}$  from current source 81 associated with bias voltage supply  $V_{DD}$  at terminal 83. The current  $I_p$  flows through transistor 81 and toward output terminal 52F. Because comparator 44 is being driven by a signal with ripple from a half bridge or similar converter, the comparator output can rapidly fluctuate high and low. This causes short bursts of current  $I_p$  to be delivered to node 52F. When the comparator output is low, the transistors sink current  $I_{dn}$  into current sink 85 toward ground terminal 86. The current  $I_{dn}$  is pulled from node 52F.

Variations on the charge-pump construction are many. Filter 46 in Figure 7 is of the type commonly used in the design of PLL systems. Figure 6 shows the simplest circuit that can be used for the filter, with a capacitor 91 in one branch in parallel with a second

branch having resistor 92 in series with capacitor 93. However, there are many variations on this filter and other filters can provide the needed poles and zeros and smooth out the voltage at node 52F by providing an additional zero in the stabilization loop to stabilize the entire regulation loop.

Controller 47 in Figure 7 can be either a PFM or PWM modulator. Driver 42 in Figure 7 amplifies pulses that can have either fixed frequency and variable width (PWM), or fixed pulse width and variable frequency (PFM) established by controller 47. The controller 47 adjusts pulse width for a PWM or pulse frequency for a PFM. Reference voltage 48 sets a target voltage, adjusted for voltage drop  $R_1$  of resistor 54, for  $V_{out}$  at node 52C. The controller 47 is making adjustments to driver 42 to minimize the ripple in  $V_{out}$  at node 52C and into load 43. The invention would work with either a PWM or PFM, and for any SPC converter. The present invention provides a stabilized regulation loop for a SPC with a non-linear voltage comparator, a charge-pump of the type commonly used in PLL circuitry, and a low pass filter with the combination having poles and zeros offsetting the poles and zeros of the bridge rectifier. Blocks that are typically used in the regulation loop shown in system 40 such as voltage comparator 44, charge-pump 45, filter 46 and PWM or PFM controller 47 and driver 42 are common circuitry.

In general, system 40 may be built on PC board from discrete components, or in an integrated circuit form in any technology suitable for such a system, such as but not limited to CMOS, BiCMOS, GaAs, Bipolar (or BJT), SiGe, Silicon on Insulator (SOI), or any other integrated circuit process capable of producing system 20 in an integrated form. Or, entire system 20 can be built as a combination of discrete components and integrated



circuits built in different process technologies that are proper for such a system.

With reference to Figure 9, comparator 44 is to have a voltage signal input 52 from terminal 47 where  
5 terminal 47 is an output node of a bridge converter, such as a half-bridge, as seen in Figure 5. Comparator 44 also has a reference voltage input on line 51 associated with a voltage reference source, such as battery 50. Comparator 44 is made of a plurality of CMOS transistors  
10 of the type shown and described in U.S. Pat. No. 6,198,312. A more elaborate version of such as comparator is shown in Figure 10 and a still more sophisticated version is shown in Figure 11.

The output of comparator 44 on line 60 feeds  
15 charge pump 45, similar to the charge pump shown in Figure 8. The charge pump features a pair of CMOS transistor switches 62 and 64. Transistor 62 is a p-channel device connected to a p-channel current sourcing transistor 66 biased by a reference voltage on gate line  
20 76 to provide a supply voltage 80 and current when the gate of switch 62 is biased negative. The provided current flows toward filter 61 and specifically into capacitors 91 and 93. Transistor 64 is an n-channel device connected to an n-channel current sinking  
25 transistor 68 biased by a reference voltage on a gate line 78 to provide access to ground 86 for sinking current when the gate of switch 64 is biased positive by the output of comparator 44. In this case, current is drawn from filter 61. The filter 61 is shown to be the  
30 same as the filter of Figure 6. This filter is a typical simple filter and equivalent filters, more or less sophisticated, analog or digital, may be used. So feedback from the pulse modulator appear at terminal 47 of comparator 44, at the clock rate, causing small  
35 amounts of current to be injected into or removed from

filter 61. Since filter 61 has components selected to provide offsetting poles and zeros to counterparts in the power supply, a stable feedback loop is provided.

Claims

1. A regulation loop for a switching power converter of the type having a pulse width variable or pulse frequency variable modulator operating switches associated with a power source and a bridge filter section, with a power output node feeding a load, the variable parameter of the modulator establishing an amount of regulation and efficiency of the power converter, the bridge filter section having a first transfer function with inherent poles and zeros, the improvement comprising:

a comparator having a high impedance first input sampling a voltage from the power output node of the switching power converter as a first input signal and having a second input signal from a reference supply representing a target voltage level for the load, the comparator having an output signal on an output line with a high or low signal depending on whether first input signal exceeds the second input signal or not; and

a filter connected to the comparator receiving the comparator output signal and to deliver a filter output signal, the filter having a second order transfer function, the second order transfer function established by a selection of filter components offsetting the poles and zeros of the first transfer function, whereby the filter output signal is smooth, operating the variable parameter of the pulse width variable or pulse frequency variable modulator.

2. The apparatus of claim 1 wherein a charge pump is interposed between the comparator and the filter.

3. The apparatus of claim 2 wherein the filter comprises at least one capacitor in communication with the charge pump, whereby the charge pump adds and subtracts charge from the capacitor.
4. The apparatus of claim 2 wherein the filter comprises at least two capacitors in communication with the charge pump, whereby the charge pump adds and subtracts charge from the capacitor.
5. The apparatus of claim 2 wherein the filter comprises two parallel branches having opposed ends, including a first end connected to the charge pump and a second end connected to ground.
6. The apparatus of claim 5 wherein a first branch of the filter comprises a capacitor.
7. The apparatus of claim 5 wherein a second branch of the filter comprises a capacitor and a resistor.
8. The apparatus of claim 2 wherein the charge pump comprises switch means for injecting and retracting current from the filter.
9. A regulation loop for a switching power converter of the type having a pulse width variable or pulse frequency variable modulator operating switches associated with a power source and a bridge filter section, with a power

output node feeding a load, the variable parameter of the modulator establishing an amount of regulation and efficiency of the power converter, the improvement comprising:

a comparator having a high impedance first input sampling a voltage from the power output node of the switching power converter as a first input signal and having a second input signal from a reference supply representing a target voltage level for the load, the comparator having an output signal on an output line with a high or low signal depending on whether first input signal exceeds the second input signal or not;

a charge pump connected to receive the output signal from the comparator and either source or sink current in response thereto as a current signal; and

a filter connected to the comparator receiving the current signal and delivering a filter output signal operating a pulse width variable or pulse frequency variable modulator.

10. The apparatus of claim 9 wherein the filter comprises at least one capacitor in communication with the charge pump, whereby the charge pump adds and subtracts charge from the capacitor.

11. The apparatus of claim 9 wherein the filter comprises at least two capacitors in communication with the charge pump, whereby the charge pump adds and subtracts charge from the capacitor.

12. The apparatus of claim 9 wherein the filter comprises two parallel branches having opposed ends, including a first end connected to the charge pump and a second end connected to ground.

13. The apparatus of claim 12 wherein a first branch of the filter comprises a capacitor.

14. The apparatus of claim 12 wherein a second branch of the filter comprises a capacitor and a resistor.

15. The apparatus of claim 9 wherein the charge pump comprises an inverter arrangement of MOS transistors, with a pair of bias transistors connected to the inverter arrangement.



Abstract of the Disclosure

A pulse regulation loop for a clocked switching power converter where the loop is around a bridge converter. The loop features a comparator, a charge pump and a filter in series, feeding a pulse modulator controlling the clock duty cycle of the bridge. Ripple in the bridge converter output is feed to the comparator which causes the charge pump to inject or remove charge from the filter at the clock rate providing control over the modulator that establishes converter efficiency. The charge pump is of the PLL type, having switches responsive to voltage output from the comparator, evaluating the converter ripple relative to a reference voltage.

Please type a plus sign (+) inside this box → ☐

PTO/SB/01 (12-97)  
Approved for use through 9/30/00. OMB 0651-0032  
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

<b>DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION</b> <b>(37 CFR 1.63)</b>  <input checked="" type="checkbox"/> Declaration Submitted with Initial Filing      OR <input type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)	<b>Attorney Docket Number</b>	ABD-001
	<b>First Named Inventor</b>	Ahmad B. Dowlatabadi
	<b>COMPLETE IF KNOWN</b>	
	<b>Application Number</b>	/
	<b>Filing Date</b>	
	<b>Group Art Unit</b>	
	<b>Examiner Name</b>	

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**CONTROL LOOP FOR SWITCHING POWER CONVERTERS**

the specification of which

(Title of the invention)

☒ is attached hereto  
OR

☐ was filed on (MM/DD/YYYY)

as United States Application Number or PCT International

Application Number and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Please type a plus sign (+) inside this box → ☐ +

PTO/SB/01 (12-97)  
Approved for use through 9/30/00. OMB 0651-0032  
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

## DECLARATION — Utility or Design Patent Application

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT International application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

<input checked="" type="checkbox"/> Customer Number 003897	Place Customer Number Bar Code Label here		
OR <input checked="" type="checkbox"/> Registered practitioner(s) name/registration number listed below			
Name	Registration Number	Name	Registration Number
Thomas Schneck	24,518	David M. Schneck	43,094
Mark Protsik	31,788	Gina McCarthy	42,986
Kwan Chan	52,714	Nissa M. Strottman	52,257

☐ Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.

Direct all correspondence to: ☒ Customer Number or Bar Code Label 003897 OR ☒ Correspondence address below

Name	Law Offices of Schneck & Schneck				
Address	P.O. Box 2-E				
Address					
City	San Jose	State	CA	ZIP	95109-0005
Country	USA	Telephone	408/297-9733	Fax	408/297-9748

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor:		<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))			Family Name or Surname		
Ahmad B.			Dowlatabadi		
Inventor's Signature	<i>Ahmad B. Dowlatabadi</i>				Date
Residence: City	San Jose	State	CA	Country	U.S.A.
Post Office Address	1791 Dalton Place				
Post Office Address					
City	San Jose	State	CA	ZIP	95124
				Country	U.S.A.

☐ Additional inventors are being named on the supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto

ABD - 001

+

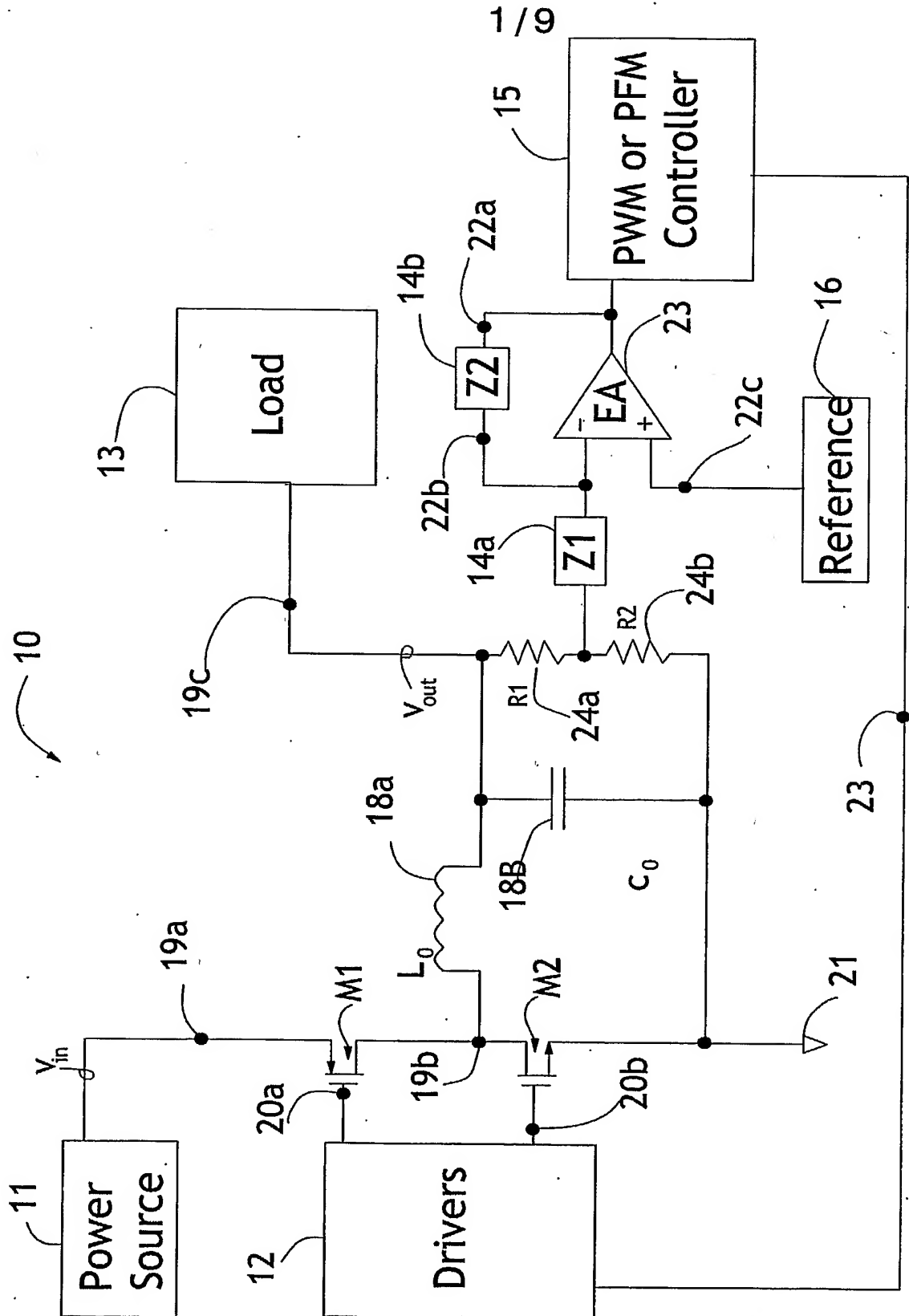


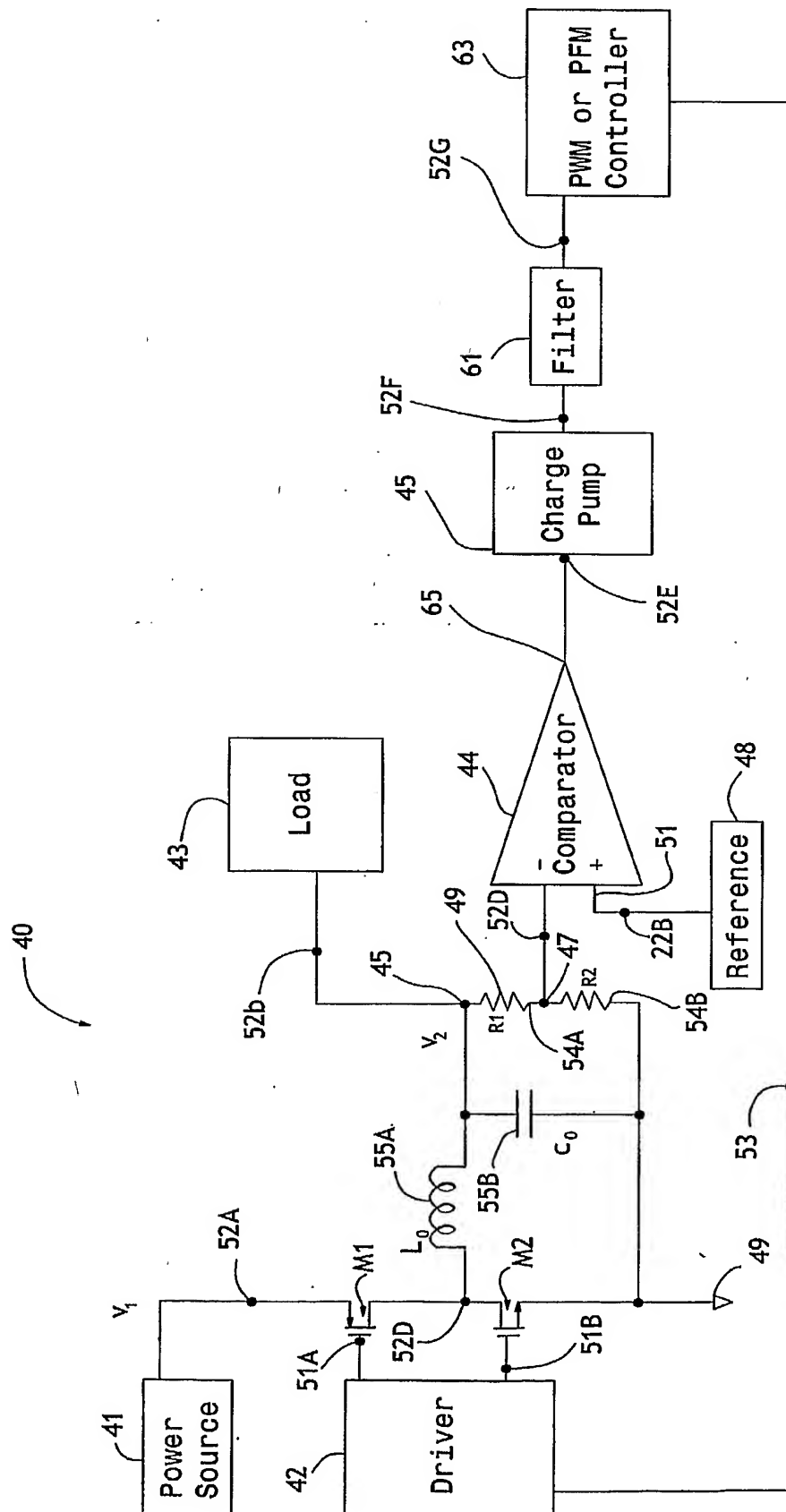
Fig. 1

+









**Figs. 1-5**

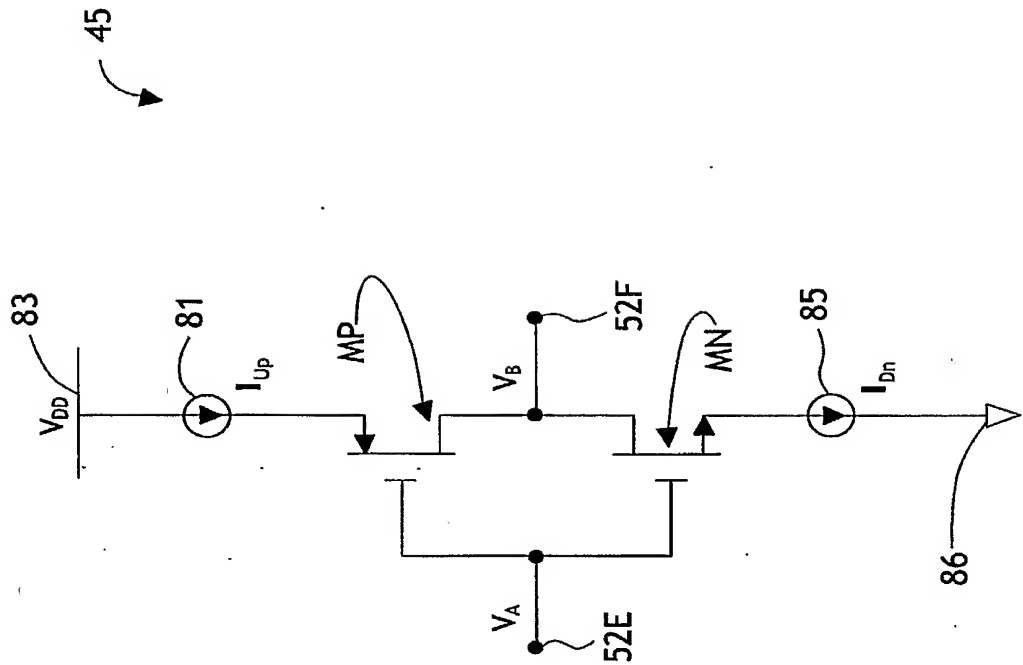
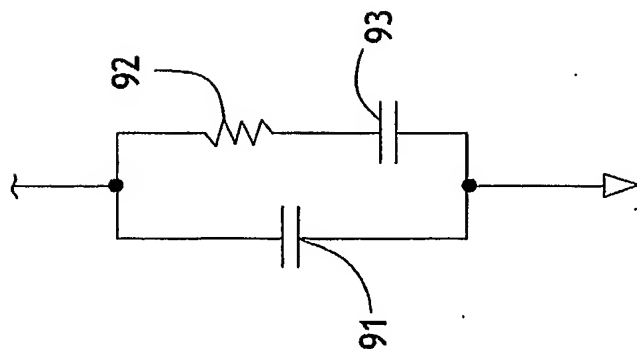
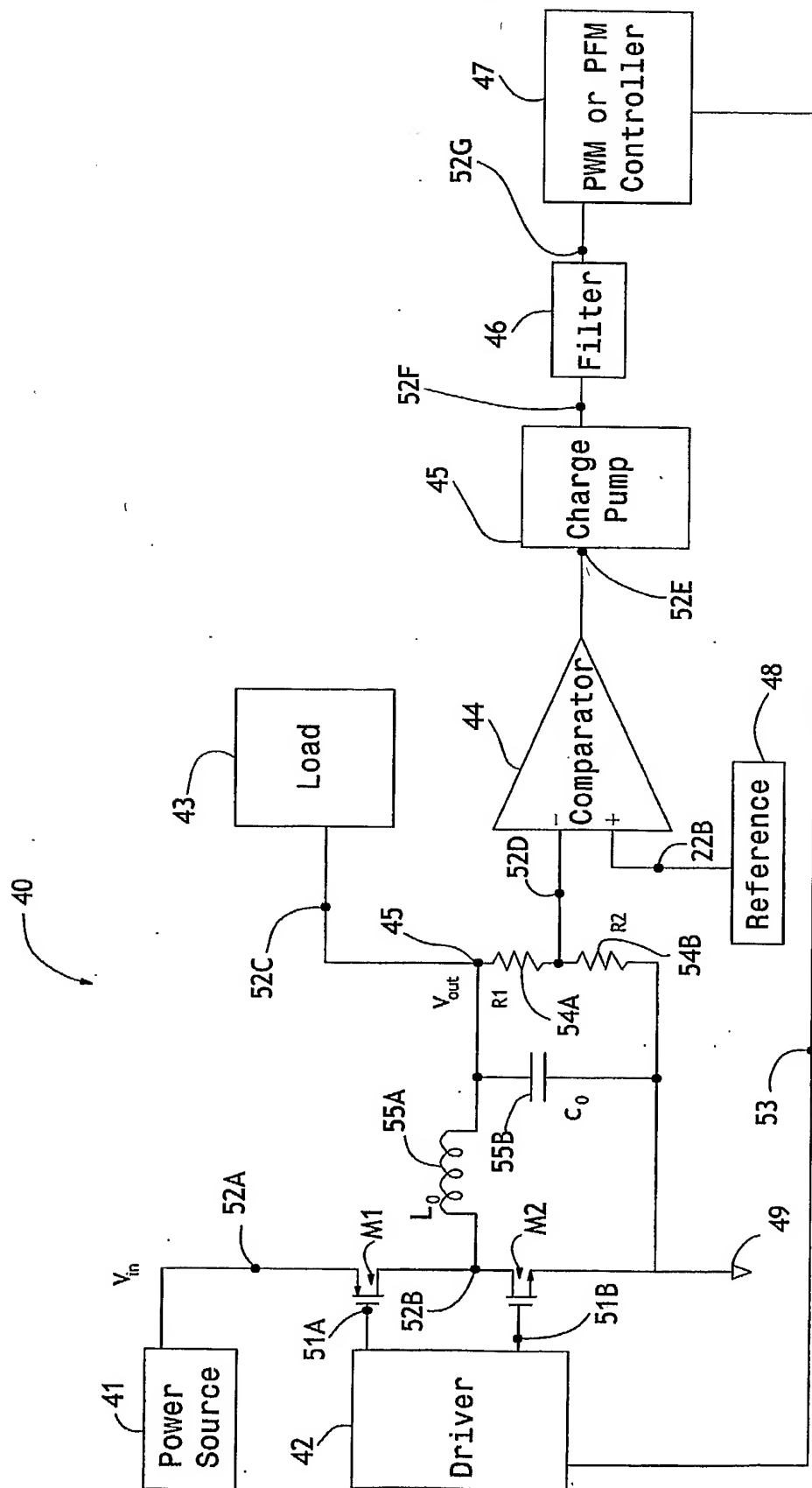


Fig. 8-1



**Fig. 6**



**Fig. 7**

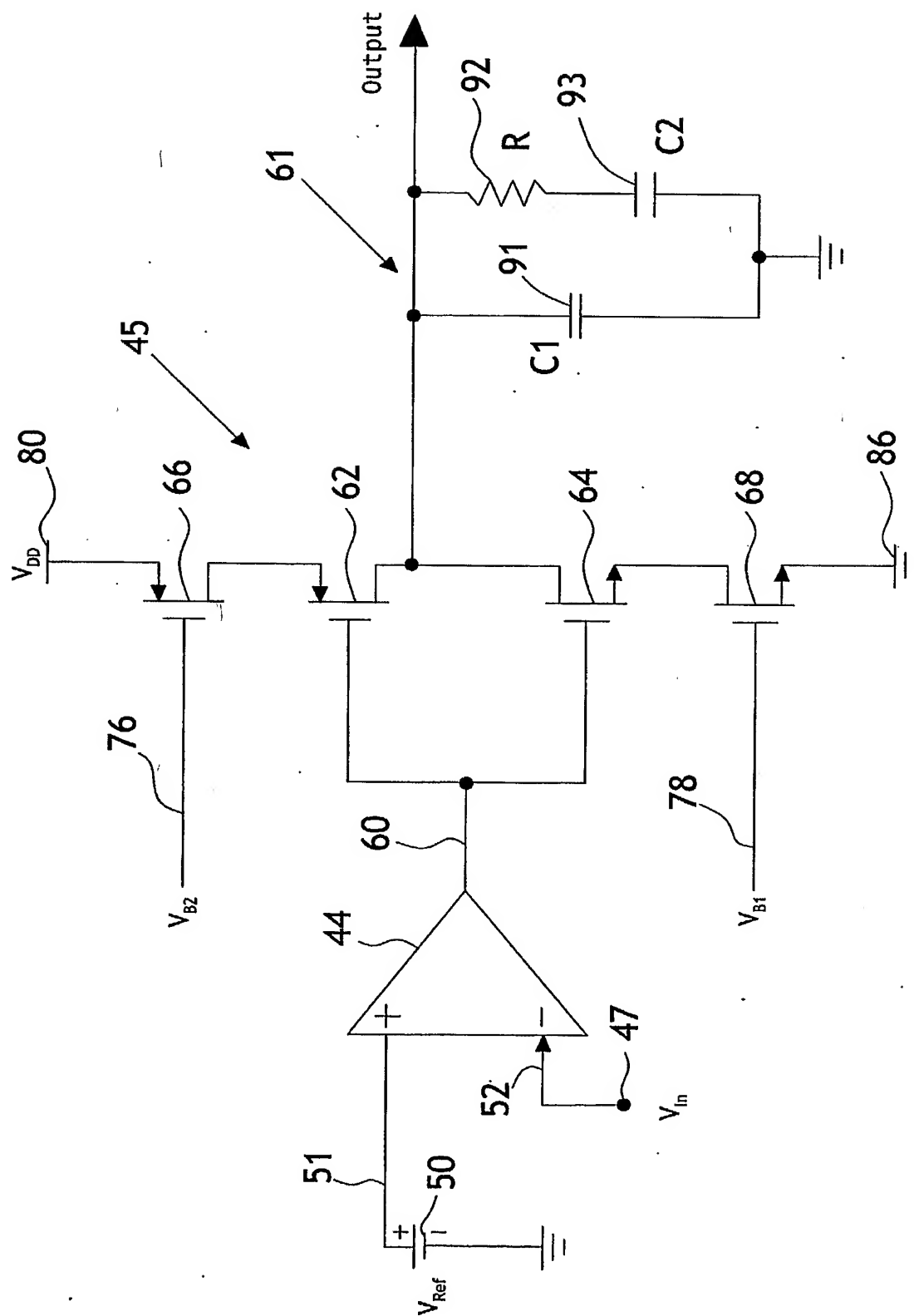


Fig. 9

ABD-001

8/9

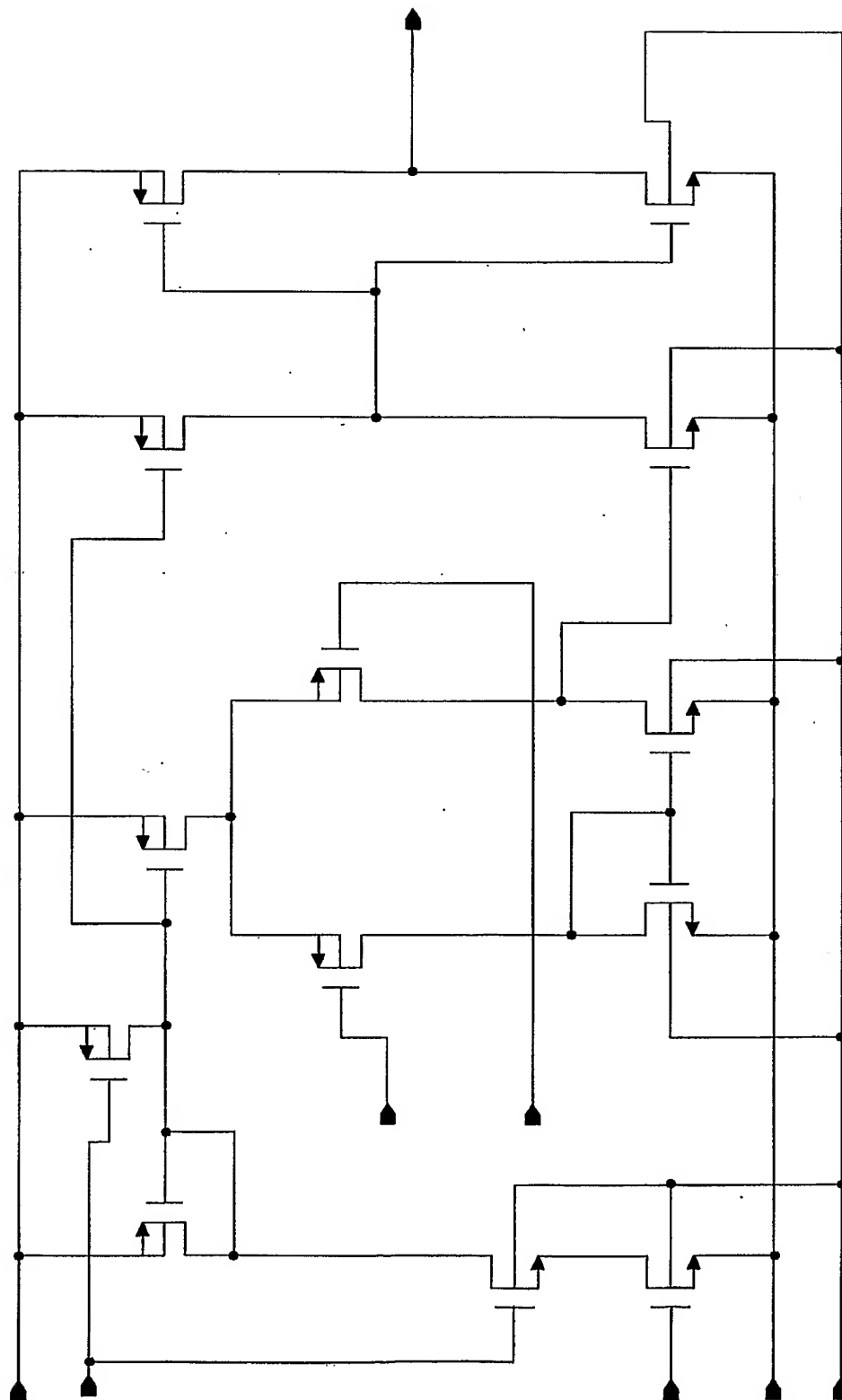


Fig. 10

ABD-001

9/9

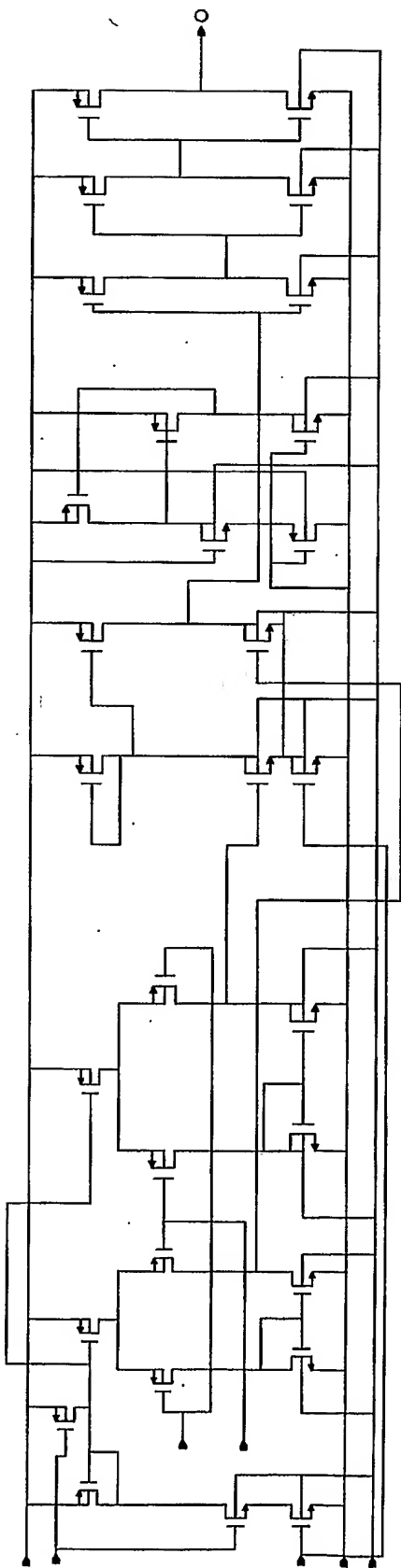


Fig. 11